



First Asset Advantages:*

- ♦Self-Directed IRA accounts for your clients with NO ANNUAL FEE!
- ♦Low Clearing Fees - \$13.50 per equity trade with other fees similarly low
- ♦Free Checking with competitive rates, FDIC insured through clearing firm
- ♦Low Annual Minimum-Just \$10,000 of net commission paid to you annually!
- ♦Up to 90% payout!
- ♦FINRA registration fees charged at actual cost-not marked up like many firms
- ♦No “management chain,” with ONE person between you and the President of FAF!
- ♦No monthly fee for direct access to accounts at clearing firm for trading and web account access
- ♦No “ticket charge” for “application way” investments
- ♦Supervisory qualified persons have the opportunity to build your own branch
- ♦You will be free from pressure to sell proprietary products or, for that matter, any particular product. Naturally, you will still need to stay within the boundaries of products approved by FAF, but this covers a wide spectrum.
- ♦No “inactivity” fee for stock/bond accounts
- ♦Your choice of E&O carrier at a price of YOUR choosing, “company” E&O not forced upon you
 - ♦No monthly Fees (except if you wish Advisor Assistant software or you don’t meet annual production minimums)
- ♦Fee based sister RIA of Chief Investors Corporation is available.
- ♦Clearing firm, Southwest Securities, Inc. is a NYSE member firm clearing for over 225 broker dealers with excess insurance coverage of \$19.5 million over SIPC coverage for each customer
- ♦Full range of insurance products offered, but existing insurance relationships can be retained (except for EIAs).
- ♦No fee “ACH” service
- ♦We offer a “retirement program” whereby trails can be paid to you after you relinquish your securities license.

*some items available to Series 7 Representative only